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AGILENT TECHNOLOGIES, INC.
 Legal Department, DL429
 Intellectual Property Administration
 P.O. Box 7599
 Loveland, Colorado 80537-0599

ATTORNEY DOCKET NO. 70040066-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Chua et al.

Serial No.: 10/761,763

Examiner: Canning, Anthony J.

Filing Date: Jan 21, 2004

Group Art Unit: 2879

Title: Device and Method for Emitting Output Light Using Group IIB Element Selenide-Based and
 Group IIA Element Gallium Sulfide-Based Phosphor Materials

COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
 (Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
 (After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
 Statement under 37 CFR 1.97(e), *and*
 \$180.00 fee as set forth in 37 CFR 1.17(p).
 (After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, *or*
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☐ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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Date of Deposit: July 28, 2006

In an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria VA 22313-1450.

OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Thomas H. Ham

Signature: Thomas H. Ham

Respectfully submitted,
Chua et al.

By Thomas H. Ham
Thomas H. Ham
Attorney/Agent for Applicant(s)

Reg. No. 43,654

Date: July 28, 2006

Telephone No. (925) 249-1300



Form PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

70040066-1

SERIAL NO.

10/761,763

APPLICANT

Chua et al.

FILING DATE

January 21, 2004

GROUP

2879

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENT

	DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
	DE 19738544 A1	03/04/1999			X
	WO 99/50916 A1	10/07/1999	Bawendi et al.		
	JP 06306356 A	11/01/1994	Toshiyuki	X	
	EP 1511089 A2	03/02/2005	Fujiwara		
	EP1363335 A2	11/19/2003	Fujiwara		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	English Abstract of DE19738544 A1
	English Abstract of JP 06306356 A

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

Page 1 of 2

Form PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTORNEY DOCKET NO. 70040066-1	SERIAL NO. 10/761,763
	APPLICANT Chua et al.	
	FILING DATE January 21, 2004	GROUP 2879

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENT					
		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO
		JP2002043625 A	02/08/2002	Toshiyuki	
		EP0977278 A2	02/02/2000	Matsubara et al.	X
		WO2004/095493 A3	11/04/2004	Tian et al.	
		EP1369935 A1	12/10/2003	Mueller	

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)	
	English Abstract of JP2002043625 A
EXAMINER	DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)